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Signature: Crystal Ross

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David Allison Bennett, et al.

Group Art Unit: 3629

Serial No.:

09/684,871

Examiner:

Jamisue A. Webb

Filed:

October 6, 2000

Title:

Apparatus, Systems and Methods For Online, Multi-

Carrier, Multi-Service Parcel

**Shipping Management** 

Featuring Shipping Location Comparison Across Multiple

Carriers

Atty Dckt No.: PSTM0003/MRK

### INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Section 1: <u>Preliminary Statements</u>:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references and documents are enclosed. This filing is made during one of the periods described in 37 CFR § 1.97(c); the fee set forth in §1.17(p) is included herewith.

Many of the references listed on the attached Forms PTO-1449 were cited in Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application. Also listed on the attached Forms PTO-1449 are Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application.

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While this Information Disclosure Statement, and the references and documents cited in the enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of the references and documents listed in the enclosed Forms PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references and documents be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

1.29,2004

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Respectfully submitted,

Marilyn R. Khorsandi

Attorney/Agent for Applicant(s)

Reg. No. 45,744

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Crystal Boss

**Applicant** 

David Allison Bennett, et al.

Application No.

09/684,871

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Title

Apparatus, Systems and Methods For Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Location Comparison Across Multiple

Carriers

Grp./Div.

3629

Examiner Docket No. Jamisue A. Webb

PSTM0003/MRK

#### TRANSMITTAL LETTER

Mail Stop Amendment **Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450 140 S. Lake Ave., Suite 312 Pasadena, CA 91101 October 29, 2004

#### Commissioner:

Attached are the following:

- Information Disclosure Statement, PTO Form 1449 and 24 cited references and 1. documents;
- Check No. 1769 in the amount of \$180.00 to cover the Information Disclosure 2. Statement submission fee; and
- 3. Return Postcard.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of this application to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

KHORSANDI PATENT LAW GROUP, ALC

Mafilyn R. Khorsandi Reg. No. 45,744

Customer No. 29524

626/796-2856

MRK/cmr Enclosures

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Contract of the second	A Company				Application Number	09/684,871	
, (, •)	INFO	RMATION D	ISC	LOSURE	Filing Date	10/6/2000	
	STAT	<b>EMENT BY</b>	AP	PLICANT	First Named Inventor	David Allison Bennett, et al.	
					Group Art Unit	3629	
	(1	use as many sheets	as ned	cessary)	Examiner Name	Jamisue Webb	
	Sheet	1	of	3	Attorney Docket Number	PSTM0003/MRK	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent D	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS								
		F	oreign Patent Document			Date of Publication of	Pages, Columns, Lines,	$\exists$	
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-YYYY	Where Relevant Passages or Relevant Figures Appear	ਾ	
	1.	JPO	JP05-276353A		Nomura	10-1993	Throughout		
	2.	wo	WO01/13261A1		Jueses et al.	02-2001	Throughout		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3.	"After Disconnection from Internet of Recovery from Error, Return to Unitform Resource Locator": 1B Technical Disclosure Bulleti, October 1, 1997, vol. 40, no. 10, pages153-154	
	4.	FedEx® Services, (www.fedex.com) Archived on 01/16/1997	
	5.	Material Logistics System, (NN86091526) IBM Technical Disclosure Bulletin, September 1986, US, Volumn 26, Issue 4, Page Numbers 1526-1530	
	6.	PRNewswire:"Tan Data™ CorporationAnnounces Progistics CS™, Their Newest Internet-Enabled shipping and Rating Software"; July 21, 1997	
	7.	PR Newswire: "Worldwide Merchant Announces InterShipper 4.0 'The Internet Shipping Center"; March 23, 1999	
	8.	UPS Service Guide, (ww.upd.com) Archived on 12/06/1998	
	9.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated July 12, 2004 for Smith, et al.; Online, Multi-Carrier, Multi-Service Parcel Shipping Management Functional Alignment of Computer Devices; U.S. Patent Application Serial No. 09/684,010 (Attorney Docket No. PSTM0002/MRK); filed October 6, 2000	
	10.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated October 21, 2003 for Smith, et al.; Online, Multi-Carrier, Multi-Service Parcel Shipping Management Functional Alignment of Computer Devices; U.S. Patent Application Serial No. 09/684,010 (Attorney Docket No. PSTM0002/MRK); filed October 6, 2000	
	11.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 25, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Parcel Handling Rules Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,870 (Attorney Docket No. PSTM0007/MRK); filed October 6, 2000	
	12.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated January 13, 2004 for Bennett, et al; Reporting Shipping Rates and Delivery Schedules For Multiple Services and Multiple Carriers; U.S. Patent Application Serial No. 09/684,869 (Attorney Docket No. PSTM0009/MRK); filed October 6, 2000	
	13.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 23, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Notification Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/685,078 (Attorney Docket No. PSTM0010/MRK); filed October 6, 2000	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 3

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Complete if Known			
Application Number	09/684,871		
Filing Date	10/6/2000		
First Named Inventor	David Allison Bennett, et al.		
Group Art Unit	3629		
Examiner Name	Jamisue A. Webb		
Attorney Docket Number	PSTM0003/MRK		

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Initials* N	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		publisher, city and/or country where published.	<u> </u>
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'	14.	Examiner Akiba K. Robinson Boyce, Art Unit 3623, United States Patent Office; Office Action dated November 25, 2003 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Delivery Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,649 (Attorney Docket No. PSTM0012/MRK); filed October 6, 2000	
1	15.	Examiner Akiba K. Robinson Boyce, Art Unit 3623, United States Patent Office; Office Action dated July 19, 2004 for Bennett, et al.;Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Delivery Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680.649 (Attorney Docket No. PSTM0012/MRK); filed October 6, 2000	
1	16.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 31, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Rate and Delivery Schedule Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,654 (Attorney Docket No. PSTM0015/MRK); filed October 6, 2000	
1	17.	Examiner Kenneth R. Rice, Art Unit 3627, United States Patent Office; Office Action dated November 18, 2002 for Bennett, et al.; Apparatus, Systems and Methods for Applying Billing Options for Multiple Carriers for Online, Multi-Carrier, Multi-Service Parcel Shipping Management; U.S. Patent Application Serial No. 09/684,865 (Attorney Docket No. PSTM0019/MRK); filed October 6, 2000	
1	18.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office, Office Action dated March 26, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Determination of Ratable Weight for Multiple Carriers; U.S. Patent Application Serial No. 09/684,077 (Attorney Docket No. PSTM0020/MRK); filed October 6, 2000	
1	19.	Examiner Romain Jeanty, Art Unit 3623, United States Patent Office; Office Action dated February 18, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Zone Level Rating for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,014 (Attorney Docket No. PSTM0023/MRK); filed October 6, 2000	
2	20.	Examiner Beth Van Doren, Art Unit 3623, United States Patent Office; Office Action dated December 24, 2003 for Bilbin, et al.; Apparatus, Systems and Methods for Determining Delivery Time Schedules for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,861 (Attorney Docket No. PSTM0024/MRK); filed October 6, 2000	

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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FOREIGN PATENT DOCUMENTS								
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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Initials*	No.'	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	
	64	publisher, city and/or country where published.	ļ
	21.	Examiner Beth Van Doren, Art Unit 3623, United States Patent Office; Office Action dated September 13, 2003 for Bilbin, et al.; Apparatus, Systems and Methods for Determining Delivery Time Schedules for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,861 (Attorney Docket No. PSTM0024/MRK); filed October 6, 2000	
	22.	Examiner Jamisue Webb, Art Unit 3629, United States Patent Office; Office Action dated July 6, 2004 for Sean Hu, et al.; Apparatus, Systems and Methods for Printing Dimensionally Accurate Symbologies on Laser Printers Configured with Remote Client Computer Devices; U.S. Patent Application Serial No. 09/684,152 (Atty. Docket No. PSTM0034); filed October 6, 2000	
	23.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated March 11, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management; U.S. Patent Application Serial No. 09/684,866 (Attorney Docket No. PSTM0038/MRK); filed October 6, 2000	
	24.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated April 5, 2004 for Williams, et al.; Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management; U.S. Patent Application Serial No. 09/820,377 (Attorney Docket No. PSTM0041/MRK); filed March 27, 2001	
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